

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	205645US20
	First Inventor or Application Identifier	Jian-Kang ZHU et al.
	Title	PROTEINS AND DNA RELATED TO TOLERANCE IN PLANTS
	Assignee Name:	The Arizona Board of Regents on behalf of the University of Arizona
	Assignee Address:	Office of Technology Transfer, Babcock Bldg., Rm. 3205, 1717 E. Speedway Boulevard, Tucson, Arizona 85721-0151



04/04/01

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Specification Total Sheets 25	8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5	9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
4. <input type="checkbox"/> Oath or Declaration Total Pages 1	10. <input type="checkbox"/> English Translation Document (if applicable)
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)	12. <input type="checkbox"/> Preliminary Amendment
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard
5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	15. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27
a. <input type="checkbox"/> Computer Readable Form (CRF)	16. <input checked="" type="checkbox"/> Other: List of Inventors Names and Addresses Request for Priority
b. Specification or Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	

1046 U.S. PTP
09/824735

04/04/01

7. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☐ Which was published in English
☐ Which was not published in English

☒ This application claims priority of provisional application Serial No. 60/194,649 Filed April 4, 2000

19. CORRESPONDENCE ADDRESS

22850
(703) 413-3000
FACSIMILE: (703) 413-2220

Name:	Eckhard H. Kuesters	Registration No.:	28,870
Signature:		Date:	04/04/01
Name:	James J. Kelly, Ph.D.	Registration No.:	41,504

Docket No.

205645US20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Jian-Kang ZHU et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PROTEINS AND DNA RELATED TO SALT TOLERANCE IN PLANTS

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	42 - 20 =	22	× \$18 =	\$396.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$80 =	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,316.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$-658.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$658.00

☐ Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

☒ A check in the amount of **\$658.00** to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date:

04/04/01

Eckhard H. Kuesters
Registration No. 28,870James J. Kelly, Ph.D.
Registration No. 41,504

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

Docket No.

205645US20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jian-Kang ZHU et al.

FILING DATE: Herewith

FOR: PROTEINS AND DNA RELATED TO SALT TOLERANCE IN PLANTS

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Jian-Kang ZHU

Tucson, Arizona

Jiping LIU

Tucson, Arizona

Manabu ISHITANI

Tucson, Arizona

Cheol-Soo KIM

Tucson, Arizona

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Eckhard H. Kuesters

Registration No. 28,870

James J. Kelly, Ph.D.

Registration No. 41,504

205645US20



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
OSMMN 11/98)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jian-Kang ZHU et al.

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: PROTEINS AND DNA RELATED TO SALT TOLERANCE IN PLANTS

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☒ Full benefit of the filing date of U.S. Provisional Application Serial Number 60/194,649, filed April 4, 2000, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☐ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

COUNTRY

APPLICATION NUMBER

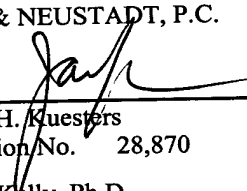
MONTH/DAY/YEAR

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Eckhard H. Kuesters
Registration No. 28,870

James J. Kelly, Ph.D.
Registration No. 41,504



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

11046 U.S. PTO
09/02/01
04/04/01